TECHNOLOGY PROFILE REPORT

NEEDLESTICK INJURY PREVENTION

Subclasses 128/919, 604/110, 604/192, 604/263

1/1963 - 12/1995

May 1996 U.S. PATENT AND TRADEMARK OFFICE OFFICE OF ELECTRONIC INFORMATION PRODUCTS -- TAF Program PK3 - Suite 441, WASHINGTON, DC 20231 tel # (703) 306-2600 / FAX # (703) 306-2737

A TECHNOLOGY ASSESSMENT AND FORECAST REPORT SAMPLE CUSTOM REPORT

SAMPLE CUSTOM REPORT NEEDLESTICK INJURY PREVENTION

The patents in this Technology Profile Report comprise utility patents having ORIGINAL or CROSS-REFERENCE classification in U.S. Patent Classification System subclasses 128/919, 604/110, 604/192, and 604/263, which relate to the prevention of needlestick injuries.

This report is generated by identifying key Patent and Trademark Office classifications, i.e., those entirely or substantially pertinent to the technology of interest. The patents in these classifications are then included in the profile. This procedure results, in most cases, in the inclusion of the majority of patents relevant to the technology and few, if any, patents which are not relevant. However, this profile should not be considered to be inclusive of all relevant patents, nor exclusive of all irrelevant patents.

For further information regarding the patents contained in this profile, the U.S. PATENT CLASSIFICATION schedule and definitions should be consulted.

Technology Profile Report Explanation of Data

This Technology Profile Report profiles the patent activity of a selected technology area. Reports may cover the period **1963** - **December 1995** or may be limited to fewer years depending on the report specifications and on which of Report Parts A1, A2, B1, B2, C1, C2, or D are selected.

The report is generated by identifying appropriate Patent and Trademark Office (PTO) classifications, i.e., those entirely or substantially pertinent to the technology of interest. The patents in these classifications are then included in the profile. This procedure results, in most cases, in the inclusion of the majority of patents relevant to the technology and few, if any, patents which are not relevant. However, any patent listing provided should not be considered to be inclusive of all relevant patents, nor exclusive of all irrelevant patents.

Data Distribution By Year of Grant and By Year of Application

Parts A1 and B1

Parts A1 and B1 distribute patent GRANT data by year of patent grant ("Patents Granted").

"Patents Granted", as shown in the "Pre YY" column, correspond to selected patents in the data base which were granted prior to 19YY (e.g., "1982") but no earlier than 1963, the first year for which there is patent data in the TAF data base.*

Parts A2 and B2 (if included)

Parts A2 and B2 of this report, if included, distribute patent GRANT data by the year in which the patents were applied for ("Patented Applications").

DATA FOR THOSE APPLICATIONS WHICH WERE FILED BUT NEVER ISSUED (ROUGHLY 40% OF TOTAL FILINGS) ARE NOT INCLUDED IN THIS REPORT.

Since the average time period between filing for a patent and the issuing of the patent (i.e., a patent's "pendency") is now about 18 months, the "Patents By Year of Application" data for **1991 - December 1995** are incomplete.** This is because a significant number of the applications filed from **1991 - December 1995** which will ultimately become patents were still pending in **December 1995**. Since they had not yet become patents at the time this report was prepared, they were not included in this report.

Patented application data are of significant value since the date an application was filed more accurately reflects when the technology was developed. Additionally, fluctuations in data based on application date are much more likely to reflect changes in technological activity, since such fluctuations would for the most part be immune from changes in PTO processing such as occurred in 1986 when the PTO issued fewer patents than would normally have been expected due to a lack of funds to print patents. Note that a patent's "pendency" can be quite variable from one patent to another thereby affecting the date of patent grant. Such variation in pendency is determined by many factors, including PTO workload (which varies between technologies),

budget and manpower levels, patent printing schedules, etc.

"Patented Applications", as shown in the "Pre YY" column (e.g., "1982"), correspond to patent grants in the report which were filed prior to "19YY".

Parts of the Report

Parts A1 and A2 present tables which show, by number and percent, the patent activity in the technology area. Part A1 presents data by year of patent grant ("Patents Granted"). Part A2 redistributes grant data by year of patent application ("Patented Applications"). The location of patent origin is determined by the residence of the first-named inventor at the time of grant.

Information on **patent ownership** reflects ownership at the time of patent grant and does not include subsequent changes in ownership. If more than one assignee was declared at the time of grant, the patent is attributed to the first-named assignee. The U.S. and Foreign Corporations ownership categories count predominantly corporate patents; however, patents assigned to other organizations such as small businesses, nonprofit organizations, universities, etc. are also included in this category. While U.S. Government patent ownership includes only patents granted to the Federal Government, no such distinction is made for Foreign Government patents.

Parts B1 and B2 are ranked listings of national and international organizations (i.e., corporations, universities, government agencies) which have received U.S. patents since 1969.* Part B1 distributes counts of patent grants for each organization by year of grant. Part B2, if included, redistributes those same patent grants by year in which the patented application was filed. Information on patent ownership reflects ownership at the time of patent grant (see explanation for Parts A1 and A2, above).

Part C1 of the report, if included, lists specific patent numbers and titles for each assignee in the selected technology area. **Part C2** lists patent numbers and titles for patents which were either unassigned or assigned to an individual at the time of grant.

Part D of the report, if included, gives inventor names, full addresses, patent numbers and titles for unassigned patents granted since 1975.* It also gives inventor names, residence by city and state, patent numbers and titles for patents assigned to individuals. At the end of Part D is a list of patents with neither assignment nor inventor information in the data base.

* The term "patent", as used above, refers to "utility" patents. For other patent documents (i.e., design, plant and reissue patents, statutory invention registrations, defensive publications), this report includes data for patents granted no earlier than January of 1977.

** As of 12/31/95, utility patent application data were approximately 95% complete for patent applications filed in 1991, 91% complete for applications filed in 1992, 80% complete for applications filed in 1993, and 22% complete for applications filed in 1994. They were essentially complete for applications filed prior to 1991.

Part A1

(Patent Grants as Distributed By Date of Patent Grant)

---- Patents Granted By Date Of Patent Grant (Granted: Jan 01, 1963 - Dec 31, 1995) ----

	Pre 1982	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	Total
Total	132	\mathbf{S}	11	\mathbf{P}_{14}	10	17	22	54	90	R140	140	156	160	165	118	1238
U.S. Origin	119	\mathbf{N}_{4}		1	7	15		44	74	- 117	110	117	120	128	92	984
Foreign Origin	13	2	5	4	3	2	4	10	16	23	30	39	40	37	26	254
CANADA	1							2	5	2	2	2	3	7	6	30
FRANCE	1		1	1	1	1		2	5	3	3	5	6	2	4	28
ITALY	-		-	-	-	-			2	1	4	7	4	6	1	25
UNITED KINGDOM	3		1	1	1			1	2	2	3	5	2	2	1	23
TAIWAN	5		-	-	1		1	2	2	1	1	2	5	7	4	24
JAPAN	1		1	1	1		2	1	1	1	3	3	4	, 1	2	23
AUSTRALIA	1		-	-	1		2	-	2	4	2	2	4	2	2	18
GERMANY	1	1		1				2	2	1	3	3	2	1	2	17
NETHERLANDS	2	-		-				4	1	-	5	1	2	1	2	9
SPAIN	2								-	2	3	1	1	1	2	8
ARGENTINA										-	2	1	2	2	1	8
SWITZERLAND									1	3	1	-	1	1	-	7
SWEDEN		1							-	1	1		-	1	1	5
S. AFRICA		-	1							-	-	1	1	1	1	5
MEXICO	3		1									-	-	-	-	4
AUSTRIA	1		-					1					1			3
NORWAY	-							1		1		1	-			3
DENMARK						1		-		1	1	-				3
U.S.S.R.						_				_	1	2				3
ISRAEL												1			1	2
CHINA P.REP.												1			_	1
YUGOSLAVIA							1									1
SAUDI ARABIA												1				1
HONG KONG													1			1
BELGIUM													1			1
CYPRUS														1		1
IRELAND														1		1
Ownership:																
U.S. Corporations	75	4	6	5	5	8	5	21	28	40	39	57	43	52	35	423
U.S. Government																0
U.S. Individuals	46	3	2	5	2	7	13	24	46	77	72	60	79	80	55	571
Foreign Corporations	6	2	3	3	2	2	2	2	9	9	12	16	15	12	17	112
Foreign Government										1						1
Foreign Individuals	5			1	1		2	7	7	13	17	23	23	21	11	131

Part A2

(Patent Grants as Distributed By Date of Patent Application)

---- Patents Granted By Date Of Patent Application (Granted: Jan 01, 1963 - Dec 31, 1995) ----

						N	UMBER O	F PATENTI	ED APPLI	CATIONS						
	Pre 1982	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	Total
		CA		DI			$\Gamma \mathcal{O} I$			DC	D	۱D'				
Total	152	13—	11	11	15	30	83	146	133	141	157	139	149	57	1	1238
U.S. Origin	132	~ 9	9	9	12	26	66	122	104	112	116	104	120	42	1	984
Foreign Origin	20	4	2	2	3	4	17	24	29	29	41	35	29	15		254
CANADA	1						5	4	2	2	4	5	3	4		30
FRANCE	2	2		1					4	7	4	5	2	1		28
ITALY								2	5	1	7	7	2	1		25
UNITED KINGDOM	4		2			1	3	1	4	2	2	4	1			24
TAIWAN					1		2	1	1		2	2	9	5		23
JAPAN	1	2			2	1	1	2	2	1	6	1	2	1		22
AUSTRALIA							1	4	3	4	3	2	1			18
GERMANY	3						3	1	3	2	4		1			17
NETHERLANDS	2							1		1		2	1	2		9
SPAIN								3	1	1	1	1	1			8
ARGENTINA									1	3	1		3			8
SWITZERLAND							1	2	2		1		1			7
SWEDEN	1								1	1		1	1			5
S. AFRICA	1										1	2	1			5
MEXICO	4															4
NORWAY							1	1		1						3
DENMARK				1				1		1						3
AUSTRIA	1					1					1					3
U.S.S.R.										2	1					3
ISRAEL								1						1		2
YUGOSLAVIA						1										1
CHINA P.REP.											1					1
SAUDI ARABIA											1					1
IRELAND											1					1
HONG KONG												1				1
BELGIUM												1				1
CYPRUS												1				1
Ownership:																
U.S. Corporations	84	5	7	4	6	13	29	49	36	40	48	41	49	12		423
U.S. Government	•-	0		-	· ·											0
U.S. Individuals	52	4	2	5	6	13	38	73	69	72	68	68	71	30		571
	51	-	-	2	Ũ		~~			· -	~~	~~	· -			2.1
Foreign Corporations	11	2	2	2	2	2	4	13	10	11	19	14	11	8	1	112
Foreign Government		-	-	-	-	-	1							2	-	1
Foreign Individuals	5	2			1	2	11	11	18	18	22	16	18	7		131
	5	-			-	-										

Part B1/B2

(Ranked Listing of Organizations with Patent Grants As Distributed By Year of Patent Grant and By Year of Patent Application)

CLASS 128/919, CLASS 604/110, 192, 263

---- Organizational Patenting

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(Granted: Jan 01, 1969 - Dec 31, 1995) ---- Ranked List of Organizations with 3 or More Patents ----

BECTON, DICKINSON AND COMPANY	Pre 1982	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	TOTAL
Patents By Year of Grant: Patents By Year of Application:	6 7	0 1	1 0	1 0	0 0	0 1	1 0	0 3	1 1	3 2	1 3	2 11	6 8	13 5	7 0	42 42
HABLEY MEDICAL TECHNOLOGY CORPORATION	•						-				•	-			-	
Patents By Year of Grant: Patents By Year of Application:	0 0	0 0	0 0	0 0	0 0	0 0	1 5	3 12	4 6	11 4	2 3	6 0	3 5	2 0	3 0	35 35
SHERWOOD MEDICAL COMPANY																
Patents By Year of Grant:	0	0	0	0	0	0	0	0 2	0 2	1 4	4	11 5	3 0	2 0	1	22
Patents By Year of Application:	0	0	0	0	0	0	0	2	2	4	9	5	0	0	0	22
BAXTER INTERNATIONAL INC.																
Patents By Year of Grant:	7	0	1	0	2	1	0	0	1	0	0	1	1	1	0	15
Patents By Year of Application:	8	1	2	0	0	0	1	1	0	1	0	0	1	0	0	15
AMERICAN HOSPITAL SUPPLY CORPORATION																
Patents By Year of Grant:	6	0	0	0	0	0	1	0	0	0	0	0	0	0	0	7
Patents By Year of Application:	6	0	0	0	1	0	0	0	0	0	0	0	0	0	0	7
ABBOTT LABORATORIES																
Patents By Year of Grant:	1	0	0	0	0	0	0	0	0	0	0	3	0	1	1	6
Patents By Year of Application:	1	0	0	0	0	0	0	0	0	3	0	0	2	0	0	6
INJECTIMED. INC.																
Patents By Year of Grant:	0	0	0	0	0	0	0	0	0	0	0	0	4	2	0	6
Patents By Year of Application:	0	0	0	0	0	0	0	0	0	0	0	4	2	0	0	6
LUTHER MEDICAL PRODUCTS, INC.																
Patents By Year of Grant:	0	0	0	0	0	0	0	2	1	1	0	0	1	0	1	6
Patents By Year of Application:	0	0	0	0	0	0	3	0	1	0	1	0	1	0	0	6
SMITHS INDUSTRIES MEDICAL SYSTEMS, INC	1.															
Patents By Year of Grant:	0	0	0	0	0	0	0	0	0	0	0	2	2	1	1	6
Patents By Year of Application:	0	0	0	0	0	0	0	0	0	1	3	1	1	0	0	6
BURRON MEDICAL PRODUCTS, INC.																
Patents By Year of Grant:	3	0	0	0	0	2	0	0	0	0	0	0	0	0	0	5
Patents By Year of Application:	3	0	0	0	2	0	0	0	0	0	0	0	0	0	0	5
ICU MEDICAL, INC.																
Patents By Year of Grant:	0	0	0	0	0	0	0	2	2	1	0	0	0	0	0	5
Patents By Year of Application:	0	0	0	0	0	1	3	1	0	0	0	0	0	0	0	5

Part C1

(Patent Number and Title Listing of Patents Assigned to Organizations)

CUSTOM REPORT ABAR SERVICE S.R.L. 5125899 - Disposable syringe for once-only use ٦Ì, ABBIS CORPORATION 5383857 - Safety syringe ABBOTT LABORATORIES 3890972 - SYRINGE INJECTOR WITH POP-TOP CAP 5086780 - BLOOD COLLECTION DEVICE 5102394 - CATHETER ASSEMBLY WITH PROTECTIVE SHIELD 5151090 - Syringe and needle guard assembly 5322515 - Luer adapter assembly for emergency syringe 5382241 - Adapter assembly for cannula hub syringe ADVANCED DISPOSAL SYSTEMS INTERNATIONAL LIMITED 5282428 - Medical needle incinerator and sealer ADVANCED PROTECTIVE INJECTION SYSTEMS MEDICAL B.V. 5116319 - Safety device for an injection syringe needle 5364359 - Syringe with retractable needle 5380286 - Safety device for an injection syringe needle 5466226 - Injection assembly with a suction needle and a retractable injection needle ADVANCED SAFETY TECHNOLOGY 5304138 - Single use, destructible medical syringe ADVENTEC INC. 5338304 - Needle protected syringe AGVEN MEDICAL CORPORATION LIMITED 5047017 - SYRINGE AID-PACK, INC. 4619645 - DISPOSABLE ENEMA UNIT 4752288 - DISPOSABLE ENEMA UNIT AKZO N.V. 5472434 - Spike retainer system ALCO MACHINE + TOOL, INC. 5137521 - Telescoping safety guard for hypodermic needles and the like ALLFLEX EUROPE S.A. 4976925 - APPLIANCE DESIGNED FOR SINGLE USE FOR TAKING SAMPLES OF LIQUIDS ALTERON, INC. 4978340 - SYRINGE WITH RETRACTABLE NEEDLE ALTEX SCIENTIFIC, INC. IPLE CUSTOM REPORT 4205767 - CANNULA INJECTION DEVICE

Part C2

(Number and Title Listing of Unassigned Patents and Patents Assigned to Individuals)

Individually Owned Patents - Numerical Listing STOM REPORT 3459342 - AEROSOL CONTAINER DISPENSING ATTACHMENT 3461868 - MEDICAMENT INJECTION DEVICE 3478937 - DISPOSABLE SINGLE UNIT-DOSE SYRINGE WITH LOCKING PLUNGER 3513830 - INSTRUMENT FOR OBTAINING BODY 3559645 - DISPOSABLE SYRINGE 3592184 - HEART ASSIST METHOD AND CATHETER 3623210 - METHOD OF AND APPARATUS FOR APPLYING A SHEATH TO A HYPODERMIC NEEDLE SECURED IN A VIAL 3640278 - HYPODERMIC SYRINGE DEVICE WHICH MAINTAINS STERILE CONDITION OF NEEDLE 3667657 - DISPOSABLE CONTAINER 3768473 - DOME SYRINGES DIAPHRAGM WITH BALLONING 3796359 - DISPOSABLE HYPODERMIC NEEDLE DESTROYER 3820652 - PACKAGED SYRINGE CONSTRUCTION 3874383 - HYPODERMIC NEEDLE WITH DISTORTABLE HUB LINER 3882866 - ENEMA SYRINGE 3890971 - SAFETY SYRINGE 3900026 - DEVICE FOR HOLDING AND PROTECTING INTRAVENOUS INJECTION NEEDLES 3901226 - PROTECTIVE GUARD FOR A HYPODERMIC NEEDLE 3927676 - ENDOTRACHEAL TUBE SECURING DEVICE AND METHOD 3951146 - DISPOSABLE SELF-DESTRUCTIBLE SYRINGES WHICH RENDER THEMSELVES UNREUSABLE 3967621 - NEEDLE HOLDER FOR MEDICAL SYRINGES, VIALS, OR THE LIKE 3989045 - HYPODERMIC SYRINGE 3998224 - DISPOSABLE SELF-DESTRUCTIBLE SYRINGES WHICH RENDER THEMSELVES UNREUSABLE 4009716 - NEEDLE-HUB ASSEMBLY FOR SYRINGES 4022191 - BIOPSY NEEDLE GUARD AND GUIDE 4026287 - SYRINGE WITH RETRACTABLE CANNULA 4085737 - DEVICE AND TECHNIQUE FOR MINIMIZING RISK OF CONTAMINATION BY BLOOD SAMPLE 4119128 - TAMPERPROOF STERILE PORT COVER AND METHOD OF MAKING SAME 4124025 - GAS LOCK FOR HYPODERMIC 4170993 - SLIDING I.V. NEEDLE CARRIER ASSEMBLY 4178930 - COMBINED CAP AND NEEDLE STRUCTURE 4233975 - ANTI-DRUG ABUSE SINGLE-USE SYRINGE 4248228 - DISPOSABLE ENEMA SYRINGE FOR ONE HAND USE 4252118 - NON-REUSABLE DRUG PREFILLED SYRINGE ASSEMBLY AND METHOD OF USE 4266543 - HYPODERMIC NEEDLE PROTECTION MEANS 4266544 - HYPODERMIC SYRINGE 4266545 - PORTABLE SUCTION DEVICE FOR COLLECTING FLUIDS FROM A CLOSED WOUND 4270536 - DISPOSABLE SYRINGE 4273123 - SYRINGE AND NEEDLE COVER 4303069 - HYPODERMIC SYRINGE WITH NEEDLE GUIDE 4334536 - HYPODERMIC SYRINGE NEEDLE ASSEMBLY 4356822 - SYRINGE ASSEMBLY 4365626 - UNIVERSAL SYRINGE 4380292 - PARENTERAL NEEDLE RECEPTACLE 4402682 - TAMPER-PROOF CANNULA SUPPORT ASSEMBLY 4424918 - NON-RESEALABLE DISPENSER CAP CONSTRUCTION 4425120 - SHIELDED HYPODERMIC SYRINGE 4446967 - GERMICIDE SLEEVE FOR DENTAL AND MEDICAL INSTRUMENTS 4453936 - SLEEVE FOR PROTECTION AGAINST INTERNAL CONTAMINATIONS FOR A GYNAECOLOGICAL GUN IN PARTICULAR FOR BOVINES 4475905 - INJECTION DEVICE 4485918 - NEEDLE DISPOSAL APPARATUS

Part D

Alphabetical Listing of Inventors of Individually Owned Patents (i.e., Unassigned Patents and Patents Assigned to Individuals) Including the Numbers and Titles of Their Patents

Technology Assessment and Forecast Report CLASS 128/919, CLASS 604/110, 192, 263 NEEDLESTICK INJURY PREVENTION											
Inventors of Individ	ually Owned Patents (Jan 01,	1975 - Dec 31, 1995)									
Inventor Name Adair Edwin 5402768 - Endoscope with reusable core and disposable sh	317 Paragon Way	City Castle Pines Village	st CO	ZIP 80104	Cnt 						
Adair Edwin L. 4878485 - RIGID VIDEO ENDOSCOPE WITH HEAT STERILIZABLE S	2800 S. University Blvd.	Denver	CO	80210							
Adam John M. 5092461 - NEEDLE COVER ASSEMBLY 5092461 - NEEDLE COVER ASSEMBLY	818 Colestone Rd.	Marietta, Cobb	GA	30060							
Adobbati Ricardo N. 5445618 - Safety syringe with non-linear needle 5445618 - Safety syringe with non-linear needle	615 Palo Verde	Brownsville	TX	78520							
Agran Robert B. 5059181 - NON-RECHARGEABLE DISPOSABLE SYRINGE 5059181 - NON-RECHARGEABLE DISPOSABLE SYRINGE	909 Quantril Way	Baltimore	MD	21205							
Al-Sioufi Habib 4998925 - I. V. CONNECTOR	P.O. Box 654	Brookline	MA	02146							
Allard Edward F. 4838863 - SAFE NEEDLE SYSTEM FOR COLLECTING FLUIDS	7830 Greeley Blvd.	Springfield	VA	22152							
Alles Anthony 4775364 - Non re-useable disposable hypodermic syringe	1511-10 The Driveway	Ottawa, Ontario			CA						
Allison Alan C. 5205825 - Insertable element for preventing reuse of pla 5370628 - Safety needle and syringe 5370628 - Safety needle and syringe	233 Marvilla Cir. stic syringes	Pacifica	CA	94044							
Alrazi Jamil A. 4124025 - GAS LOCK FOR HYPODERMIC 4124025 - GAS LOCK FOR HYPODERMIC	108 Mills St.	Morristown	NJ	07963							
Altschuler Bruce R. 5318547 - Sheathed hypodermic needle	4542 English Ave.	Ft. Meade	MD	20755							
Alvarez Marcial 4950242 - HYPODERMIC NEEDLE COVER AND ASSEMBLY THEREWITH 4950242 - HYPODERMIC NEEDLE COVER AND ASSEMBLY THEREWITH		Elizabeth	NJ	07206							
Alvarez Marcial 4170993 - SLIDING I.V. NEEDLE CARRIER ASSEMBLY	225 E. Jersey St.	Elizabeth	NJ	07206							
Alzain Mohammed O. 5104386 - DENTAL SYRINGE APPARATUS OPPLE	E CUSTOM	Kingdom Of Saudi Ara	RT		SA						

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